ELECTRON TRANSMISSION THROUGH GRAPHENE MONOLAYER-BILAYER JUNCTION: AN ANALYTICAL APPROACH

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1. Introduction

Graphene is a single sheet of carbon atoms arranged in a honeycomb lattice. Despite being a composition part of graphite, a carbon form known for a long time, graphene was isolated only in 2004. Graphene has attracted scientific interest because of its unusual electronic and transport properties that are strikingly different from those of conventional semiconductor-based two-dimensional electronic systems (for a review see Refs. [1–4]). The potential impact of this new material on electronics was immediately recognised. Refs. [1-4]). The potential impact of this new ma-

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A junction of monolayer and bilayer graphene nanoribbons is investigated using the tight-binding approximation. An external potential is applied on the bilayer graphene layers to control the electronic transport properties of the junction. The reflection and transmission probabilities for an individual electron at the junction are analytically calculated. The dependence of the reflection probability on the external potential, the wave vector of the incident electron and the width of the nanoribbon are evaluated.

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Investigated in [10]. The investigation was also limited to the continuum approximation without application of the external voltage. In our work we use the tight-binding approximation, which allows us to investigate the system properties for the whole energy range. The deficiency of the tight-binding approximation is the negligence of higher order corrections, which include the graphene nanoribbon (GNR) bending effect or its conversion into the nanotube. In this way it becomes indistinguishable if we have an infinite nanotube with a semi-infinite nanotube inside or an infinite nanotube is inserted into another semi-infinite nanotube.

An additional insight into electronic properties of graphene and GNRs can be obtained from exact analytical approaches. Analytic calculations for the electronic structure of the GNRs have been reported in Refs. [11-13]. The electronic structure of bilayer graphene was addressed in Refs. [16-20] where the analytical results were presented (both exact and perturbative). The electronic structure of bilayer GNRs was analytically obtained in [22]. A numerical study of the magnetobandstructure of the GNRs was reported in Ref. [22, 23], and the numerical treatment of the edge states in the bilayer GNRs was presented in Ref. [20]. The aim of the present study is to provide an exact analytical description of the π electron reflection and transmission in the junction of the bilayer and monolayer nanoribbons with armchair edges, with applied external potential.

The paper is organised as follows: in Sec. 2 we present the known analytical results of monolayer and bilayer graphene and construct the wave function for the system of graphene connected to bilayer graphene. One may consider this system as a single infinite length graphene ribbon with another semi-infinite graphene ribbon overlapping, but mathematically it is simpler to consider the system as a junction of semi-infinite graphene with semi-infinite bilayer graphene ribbons. For simplicity, we analyse in this work only AB stacking of bilayer graphene, as shown in Fig. 1, and other configurations are left for the future calculations. Also, the atoms at the junction edge are arranged in a zigzag configuration, while the sides of the ribbon are in the armchair configuration of the atoms.

We consider π electron spectrum in an infinite sheet of graphene. The structure of graphene can be viewed as a hexagonal lattice with a basis of two

Fig. 1. (Colour online) (a) Sub-lattices A1, A2, B1, B2 on bilayer graphene in AB-α stacking. (b) Indication of labels of carbon atom cells used for bilayer graphene.
atoms per unit cell. The Cartesian components of the lattice vectors \( \mathbf{a}_1 \) and \( \mathbf{a}_2 \) are \( (\alpha, \psi_1, \psi_0) \) and \( (\alpha, -\psi_1, -\psi_0) \), respectively. Here \( \alpha = 1.42 \) is the carbon–carbon distance \([1]\). The three nearest-neighbour vectors are given by \( \delta = a(\psi_0, -\psi_1, \psi_1) \), \( \delta = a(\psi_1, \psi_0, -\psi_1) \), and \( \delta = a(-\psi_0, -\psi_1, \psi_0) \). The tight-binding Hamiltonian for electrons in graphene has the form

\[
H_{\text{TB}} = -\sum_{\ell \neq 0} (a_\ell^2 b_\ell + a_\ell^\dagger b_\ell^\dagger) 
\]

where the operators \( a_\ell \) and \( b_\ell \) annihilate an electron on sub-lattice \( A \) at site \( \mathbf{R}_\ell^A \) and on sub-lattice \( B \) at site \( \mathbf{R}_\ell^B \), respectively (for single graphene consider Fig. 1 with \( A_1 = A \) and \( B_1 = B \)). The parameter \( t \) is the nearest-neighbour hopping energy \((t = 2.8 \text{ eV})\). Hereinafter all energies will be written in the units of the hopping integral \( t \), therefore we set \( t = 1 \). Let us label the elementary cells of the lattice with two numbers \( p \) and \( q \). Then the atoms in the sub-lattices \( A \) and \( B \) are positioned at \( \mathbf{R}_p^A = p\mathbf{a}_1 + q\mathbf{a}_2 \) and \( \mathbf{R}_p^B = -p\mathbf{a}_1 + q\mathbf{a}_2 \), respectively.

The \( \pi \) electron wave function satisfies the Shrödinger equation

\[
\psi(k) = \psi_{p\ell}(k) + \psi_{q\ell}(k) 
\]

We search for the eigenvectors of the Hamiltonian (1) in the form of the plane waves (Bloch states) by taking the probability amplitudes to find an atom in the sites \( \mathbf{R}_p^A \) and \( \mathbf{R}_p^B \) of the sub-lattices \( A \) and \( B \) as

\[
\psi_{p\ell}(k) = e^{ik\mathbf{R}_p^A} e^{ik\mathbf{R}_p^B}, \quad \psi_{q\ell}(k) = e^{ik\mathbf{R}_p^A} e^{-ik\mathbf{R}_p^B}. 
\]

Thus Eq. (2) yields the eigenvalue equations for the coefficients \( c_p \) and \( c_{\ell} \) (envelope functions):

\[
-Ec_p = c_p \phi(k), 
\]

\[
-Ec_{\ell} = c_{\ell} \phi(-k), 
\]

where

\[
\phi(k) = e^{ik\mathbf{a}_1} + \psi_0 e^{ik\mathbf{a}_2} + \psi_1 e^{ik\mathbf{a}_3}. 
\]

Further we will consider the spectrum of \( \pi \) electrons in an infinite sheet of bilayer graphene. The tight-binding Hamiltonian for electrons in bilayer graphene has the following form:

\[
H_{\text{TB}} = \sum_{\ell} \left[ a_\ell^2 b_\ell + a_\ell^\dagger b_\ell^\dagger \right] - \sum_{(\ell,\ell')} \left[ a_\ell^2 b_{\ell'} + a_\ell^\dagger b_{\ell'}^\dagger \right] - \sum_{(\ell,\ell')} \left[ a_{\ell'}^2 b_{\ell} + a_{\ell'}^\dagger b_{\ell}^\dagger \right] - \frac{\sqrt{3}}{2} \sum_{\ell \neq 0} \left[ a_\ell^2 b_{\ell} + a_\ell^\dagger b_{\ell}^\dagger \right] - \frac{\sqrt{3}}{2} \sum_{\ell \neq 0} \left[ a_{\ell}^2 b_{\ell} + a_{\ell}^\dagger b_{\ell}^\dagger \right] 
\]

where the operators \( a_\ell \) and \( b_\ell \) annihilate an electron on sub-lattice \( A \) at site \( \mathbf{R}_\ell^A \) and on sub-lattice \( B \) at site \( \mathbf{R}_\ell^B \) respectively (Fig. 1). The index \( \rho = 1, 2 \) numbers the layers in the bilayer system. In the Hamiltonian (7) we neglected the terms corresponding to the hopping between atom \( B_1 \) and atom \( A_2 \) with the hopping energy \( \gamma_r \), and the terms corresponding to the hopping between atom \( A_1 \) and atom \( B_{\rho} \) (with the hopping energy \( \gamma_r \)). The neglect of these hopping terms leads to the minimal model of bilayer graphene \([19]\). The parameter \( \gamma_r (t = 0.4 \text{ eV}) \) is the hopping energy between atom \( A_1 \) and atom \( A_2 \) while \( \gamma_r \) is half the shift in the electro-chemical potential between the two layers. Similarly as in monolayer graphene, we express all the energies in the units of \( t \). The atoms in the sub-lattices \( A \) and \( A_1 \) are positioned at \( \mathbf{R}_p^A = p\mathbf{a}_1 + q\mathbf{a}_2 \) in the sub-lattice \( A \), and the atoms are positioned at \( \mathbf{R}_p^A = p\mathbf{a}_1 + q\mathbf{a}_2 \) in the sub-lattice \( B_\rho \). We search for the eigenvectors of the Hamiltonian (7) in the form of the plane waves. The probability amplitudes to find an atom in the sites \( \mathbf{R}_p^A \) and \( \mathbf{R}_p^B \) of the sub-lattices \( A \) and \( A_1 \) are

\[
\psi_{p\ell}(k) = e^{ik\mathbf{R}_p^A} e^{ik\mathbf{R}_p^B}, \quad \psi_{q\ell}(k) = e^{ik\mathbf{R}_p^A} e^{-ik\mathbf{R}_p^B} 
\]

where

\[
\phi(k) = e^{ik\mathbf{a}_1} + \psi_0 e^{ik\mathbf{a}_2} + \psi_1 e^{ik\mathbf{a}_3}. 
\]

Further we will consider the spectrum of \( \pi \) electrons in an infinite sheet of bilayer graphene. The zero energy points have coordinates \((0, \pm 2\pi/3)\) in the Brillouin zone corresponding to the rectangular unit cell.

Since we consider finite-size graphene sheets, evanescent solutions become important. We assume that exponentially decreasing or increasing solution can be obtained by taking \( \kappa = 1/|x| \) or \( \xi = i|x| \) in Eqs. (14), (15), and (17).

2.1. Electron spectrum in the infinite sheet of graphene

Since we are interested in configurations of graphene with rectangular geometry, we use a rectangular unit cell as in Ref. [14] and follow the names of the variables and functions used in Ref. [21]. Such unit cell has four atoms labelled with symbols \( l, l', \rho, r \), as shown in Fig. 1 (consider at this point only one layer with the labels \( l, l', \rho, r, A_1, B_\rho \)). The atoms with labels \( l \) and \( \rho \) belong to the sub-lattice \( A_1 \), the atoms with labels \( \rho \) and \( r \) belong to the sub-lattice \( B \). The position of the unit cell is indicated with two numbers, \( n \) and \( m \). The first Brillouin zone corresponding to the rectangular unit cell contains the values of the wave vectors \( k, \xi \). The eigenvectors describing the system have the form of plane waves:

\[
\psi_{\kappa\kappa}(k, \xi) = c_\kappa(k, \xi) e^{i\xi n + i\kappa m}, 
\]

(13)

Here the labels \( \kappa = 1, 2 \) are the number of the layer. The coefficients of the eigenvectors are (from Ref. [21]):

\[
c_\kappa = 1, \quad c_\rho = -e^{-\frac{\sqrt{3}}{2} \xi} \frac{E(k, \xi) + V}{\phi(\kappa, \xi)}, 
\]

(19)

\[
c_\kappa = -s_\kappa e^{-\frac{\sqrt{3}}{2} \xi} \frac{E(k, \xi) + V}{\phi(\kappa, \xi)}, \quad c_\rho = s_\kappa e^{\frac{\sqrt{3}}{2} \xi}, 
\]

(20)

\[
c_\kappa = s_\kappa e^{-\frac{\sqrt{3}}{2} \xi} \frac{E(k, \xi) - V}{\phi(\kappa, \xi)}, \quad c_\rho = s_\kappa e^{\frac{\sqrt{3}}{2} \xi} 
\]

(21)

where

\[
\phi(k, \xi) = s_\kappa e^{-T + 2\cos\frac{\xi}{2}}, \quad c_\kappa = -e^{\frac{\sqrt{3}}{2} \xi - \phi(k, \xi)}, \quad c_\rho = -e^{\frac{\sqrt{3}}{2} \xi + \phi(k, \xi)} 
\]

(22)

and \( s_\kappa = \pm 1 \) indicates the dispersion branches that appear due to a smaller Brillouin zone (for more details see Ref. [21]). The energy is (with \( s_\kappa = \pm 1 \)):

\[
E(k, \xi) = s_\kappa \sqrt{1 + 44\cos \frac{\xi}{2} + 4s_\kappa^2 \cos \frac{\xi}{2}} + 4s_\kappa^2 \cos \frac{\xi}{2}, 
\]

(23)

and the expression for energy is
It should be noted that when
\[ E^2 < \frac{\beta^2 \gamma^2}{4 \beta^2 + \gamma^2}, \]
we get that \(|\psi|\) is a complex number. This means that \(\kappa\) is also a complex number and has both real and imaginary parts.

We have that
\[ |\psi| = -1 + 4 \cos^2 \left( \frac{\xi}{2} \right) \cos \left( \frac{\kappa}{2} \xi \right), \]
therefore, the dimensionless \(x\)-component of the wave vector \(\kappa\) can be expressed as
\[ \kappa = 2 \arccos \left[ \frac{s_1 \left|\psi\right| - 1}{4 \cos \left( \frac{\xi}{2} \right) - s_2 \cos \left( \frac{\kappa}{2} \xi \right)} \right]. \]

The indices \(s_1\) and \(s_2\) can be calculated from the equations (derived from Eq. (26))
\[ s_2 = \frac{E^2 - \frac{\xi^2}{2} - V^2 + |\psi|^2}{4 \cos \left( \frac{\xi}{2} \right) + |\psi|^2 \left( 4 \beta^2 + \gamma^2 \right)} \]
and
\[ s_1 = \frac{E^2 - \frac{\xi^2}{2} - V^2 + |\psi|^2 \left( 4 \beta^2 + \gamma^2 \right)}{4 \cos \left( \frac{\xi}{2} \right) + |\psi|^2 \left( 4 \beta^2 + \gamma^2 \right)}. \]

2.4. Construction of wave functions for the junction

The graphene and bilayer graphene junction is constructed as shown in Fig. 2. The unit cells (indicated with two numbers \(n\) and \(m\)) are the same both for graphene and bilayer graphene, just starting at a certain cell \(n\) (we choose: \(n < 0\)) the upper layer

\[ E(x, \xi, \phi) = \frac{\xi^2}{2} + V^2 + |\phi(x, \xi)|^2, \]

we get that \(|\psi|\) is a complex number. This means that \(\kappa\) is also a complex number and has both real and imaginary parts.

The form of the solution in monolayer graphene then is:
\[ \psi_{\text{mon}}(\kappa, \xi) = \psi_{\text{mono}}(\kappa, \xi)e^{i\phi} \]
In particular, at the boundary
\[ \psi_{\text{mono}}(\kappa, \xi) = 0. \]

The condition for connecting monolayer graphene and bilayer graphene solutions is that amplitudes of monolayer and bilayer graphene should coincide:
\[ \psi_{\text{mono}}(\kappa, \xi) = \psi_{\text{bilayer}}(\kappa, \xi). \]

In bilayer graphene there are two wave vectors \(\kappa^{(1)}\) corresponding to the same energy, thus we introduce two coefficients \(T_1\) and \(T_2\). The form of the solution in bilayer graphene is:
\[ \psi_{\text{bilayer}}(\kappa, \xi) = \psi_{\text{mono}}(\kappa, \xi)e^{i\phi} \]
We analyse the situation when the electron approaches the junction from the graphene side and is partially reflected with the reflection probability \(R\). The incoming electron wave vector component \(k\) brings the phase \(e^{i\phi}\), while back reflected it is \(e^{-i\phi}\). Since the system is symmetric in the transverse direction, we construct the combination for the wave vector component \(\xi\) in the form \(c_{-\xi}(\xi, \kappa^{(1)})e^{i\phi} - c_{\xi}(\xi, \kappa^{(1)})e^{-i\phi}\). Here \(\xi\) has the index \(j\) for the general case when the discrete values of \(\xi\) are used to describe the finite size system.

From the boundary conditions (Eqs. (33)–(35)) we obtain three equations for three unknowns \(R, T_1\), and \(T_2\).

2.5. Reflection and transmission amplitudes for \(V = 0\)

In the case when the external potential is zero, \(V = 0\), by inserting the expressions for the coefficients from Eqs. (14), (15) and (19)–(22) we get:
The solutions are:

\[ T_I = \frac{\phi(-k_Y; \xi_j)}{\phi(-k_X; \xi_j)} \left[ \mp 2i \sin \left( \frac{\xi_j}{2} \right) \sin \left( \frac{\xi_j}{2} \right) \right] \]

(46)

where \( F(k_X, k_Y; \xi_j) = f(k_X, \xi_j)/f(k_Y, \xi_j) \). Further we are interested in the reflection probability \( |R|^2 = |R(\kappa, \xi_j)|^2 \):

\[ |R(\kappa, \xi_j)|^2 = \left| F(k_X, k_Y; \xi_j) \right|^2 \left( 2s_{1e}^{(2)} - s_{2e}^{(2)} + s_{2e}^{(2)} - s_{1e}^{(2)} \right) \]

(51)

Both coefficients \( |T(\kappa, \xi_j)|^2 \) and \( |R(\kappa, \xi_j)|^2 \) are tied by the last relation and normalised to unity, thus it is enough to analyse one of them by meaning that an increase of reflection causes a decrease of transmission and vice versa.

### 3. Behaviour of reflection probability

#### 3.1. Very large width of nanoribbons

For the infinite (large) width of the junction the wave vector \( \xi_j \) values change continuously and the index \( j \) is not required. At first we analyse the dependence of reflection when \( \xi \) is close to the Dirac point (\( \xi = \frac{2\pi}{3} \)). As shown in Fig. 3, the reflection for every \( \kappa \) at a certain value of the external potential sharply drops to zero, thus the junction becomes transparent for the electrons in a certain state. That state corresponds to the electron energy equal to the potential of the upper layer in bilayer graphene. Further, with increased potential, reflection increases to the maximum value and holds in a relatively wide potential range, then drops again to the lower values. Thus it is possible to control reflection (and transmission) through the barrier by external potential. The junction acts as a tunable...
3.2. Finite width of nanoribbons

We have a finite number of atoms $N$ in transverse direction, so the transverse wave vector $\xi$ can obtain only certain values, numbered with the index $j$. These values determine the energy subbands. For the armchair bilayer graphene ribbon of infinite length with AB-a stacking, the wave vector $\xi$ is determined by

$$\xi = \frac{mj}{N+1}, \quad j = 1, \ldots, N. \quad (54)$$

In graphene and bilayer graphene nanoribbons with armchair edges the possible values of the wave vector $\xi$ determine the system conductivity type, i.e. if there is an energy subband with the threshold energy coinciding with the chemical potential (which is set to zero in our investigation), the conductivity becomes metallic, otherwise bilayer graphene appears as semiconducting. When $V = 0$ and $j = (N + 1)/3$ is an integer, then the armchair bilayer graphene ribbon is metallic and the index $v = j - f = 0$ corresponds to the zero-energy band. If $2(N+1)/3$ is not an integer, the armchair bilayer graphene ribbon spectrum has a gap, and the band closest to zero is either $f = (2N + 1)/3$ or $f' = (2N + 3)/3$ depending on which of these two numbers is an integer. Thus the system with $N = 100$ is semiconducting and with $N = 101$ it is metallic. The electronic reflection (and transmission) is also affected by the width $N$ of the nanoribbons. By exploiting the relation (54) we show the dependence of the reflection probability on the longitudinal wave vector $\kappa$ and the distance, described by the index $v$, from the zero energy band. It appears that the reflection probabilities for metallic nanoribbons behave similarly as those for semiconducting nanoribbons, so we show only one type in Fig. 4. As one can see, when the wave vector $\kappa$ is close to zero (corresponding to the Dirac point), the reflection probability for the bands with negative and positive indices $v$ are the same; this symmetry breaks with increasing $\kappa$. There are values of the external potential where total transmission occurs, as can be seen in Fig. 4(b). In Fig. 4(b) the reflection probability at certain values of $\kappa$ and $v$ drops to zero.

4. Conclusions

An exact analytical description of the electronic wave function at the graphene and AB-a stacking bilayer graphene interface based on the tight-binding model is presented. The model enables to analyse the properties of the structure far away from the Dirac point. We investigated the dependence of probabilities of electron reflection and transmission through the junction on the external voltage in bilayer graphene. We showed that transmission or reflection could be enhanced at certain voltages. This can be useful for the creation of a transistor type device from graphene nanoribbons. The model describing the system of the junction is suitable for the extension to other configurations. In addition, a finite width graphene and bilayer graphene nanoribbon junction was analysed. It was shown that the size of the ribbon affected the reflection of electrons at the junction interface. It was shown that there was no significant difference of reflection between metallic and semiconducting bilayer graphene.

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References

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